U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/IT99/00063

INTERNATIONAL FILING DATE 18 March 1999

09/646346 18 March 1998

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

ATTORNEY'S DOCKET NUMBER

PAGLIARO1

CATALYTIC MATERIALS FOR SELECTIVE OXIDATION OF ALCOHOLS, PROCESS...

APPLICANT(S) FOR DO/EO/US Mario PAGLIARO et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [xx] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. [xx] This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [xx] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [xx] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [xx] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [xx] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made, however, the time limit for making such amendments has NOT expired.
 - d. [xx] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [xx] A FIRST preliminary amendment.
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] A substitute specification.
- 15. [] A change of power of attorney and/or address letter.
- 16. [xx] Other items or information:
 - [xx] Courtesy copy of the International Application as filed.
 - [xx] Courtesy copy of the first page of the International Publication (WO 99/47258).
 - [xx] Courtesy copy of the International Preliminary Examination Report with annexes containing pages 4, 5, 7, 8 and 13 to be substituted for original specification pages 4, 5, 7, 8 and 13 and claims 1-18 to be substituted for the original claims for examination in this case.
 - [xx] Courtesy Copy of the International Search Report.

534 Rec'd PCT/PT0 18 SEP 2000

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			PAGLIARO1				
U7/ U7							
17. [XX] The following fees are submit	ted:			CA	LCULATIONS	PTO USE ONLY	
RASIC NATIONAL FEE (37 CFR 1.492 (a)(1) –(5):							
Neither international preliminary examination fee (37 CFR 1.482)							
nor international search fee (37 CFR 1 445(a)(2)) paid to USP10							
and International Search Report not prepared by the EPO or JPO							
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO				·			
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but all claims did not satisfy provisions of PCT Article 33(1)-(4)					:		
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and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00					•		
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ENTER APPROPRIATE BASIC FEE AMOUNT =				\$			
Surcharge of \$130.00 for furnishing the	oath or declaration	n later than [] 20	[xx] 30	\$	130.00		
months from the earliest claimed priorit	y date (37 CFR 1.4	492(e)).		-			
Claims as Originally Presented	Number Filed	Number Extra	Rate	-			
Total Claims	18 - 20	0	X \$18.00	\$	0		
Independent Claims	1 - 3	0	X \$78.00	\$	0	<u></u>	
Multiple Dependent Claims (if applicab	ole)		+\$260.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$	970.00		
Claims After Post Filing Prel. Amend	Number Filed	Number Extra	Rate				
Total Claims	- 20		X \$18.00	\$			
Independent Claims	- 3		X \$78.00	\$			
Independent Claims		E CALCULAT		\$	970.00		
101	AL UF ABUV	emall entity statem	ent	\$			
Reduction of ½ for filing by small entity	y, if applicable. A	small entity states	CIII	J.			
must also be filed (Note 37 CFR 1.9, 1.	27, 1.20)	CIID	TATO	\$	970.00		
SUBTOTAL =							
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30				•			
months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	970.00		
TOTAL NATIONAL FEE =				\$	7,0,00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be				1 4			
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED=				\$	970.00		
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a. [] A check in the amount of \$	to co	over the above fees	s enclosed.	ດສັດ ດ	0 :44AA		
h [vv] Credit Card Payment Form (P)	TO-2038), authori	zing payment in the	amount of \$	9/0.0	o, is attached. cover the above fee	ea .	
c. Please charge my Deposit Acc	ount No. 02-4035	in the amount of 3_		_ "	COVEL THE ADOVE ICC		
A duplicate copy of this sheet	is enclosed.	omu additional f	og which ma	v he r	equired or credit a	ny overpayment	
d. [XX] The Commissioner is hereby	authorized to char	rge any additional is	onclosed	y oc i	equines, or eresir a		
to Deposit Account No. 02-40							
NOTE: Where an appropriate time	limit under 37 C	FR 1.494 or 1.495	has not been	met.	a petition to revive	e (37 CFR 1.137(a) or	
(b)) must be filed and granted to res	tore the annlicati	ion to pending stat	us.	/		111 1	
(b)) must be filed and granted to les	core one appricate			•	lager 6	1)	
SEND ALL CORRESPONDENCE TO:					4		
				SIGNATURE			
BROWDY AND NEIMARK, P.L.L.C.				Roger L. Browdy			
624 NINTH STREET, N.W., SUITE 300				NAME			
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Date of this submission: September	18, 2000				Page		